

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
SPR00857P00040US

U.S. APPLICATION NO. (If known, see 37 CFF.).5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/AU2004/001327 27 September 2004 26 September 2003 TITLE OF INVENTION METHODS FOR BREEDING PLANTS APPLICANT(S) FOR DO/EO/US Graeme David Richards and George Orel Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. M The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). ₇ 🔽 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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	TION NO. (if known		PCT/AU2004		ATTORNEY'S DOCKET NUMBER SPR00857P00040US			
20. Other items or information: Signed Statement Claiming Small Entity Status Copy of Request Under 92bis regarding inventor address								
The foll	owing fees have b	· <u>-</u> .	CALCULATIONS	PTO USE ONLY				
21. 🗸 Basi	Basic national fee (37 CFR 1.492(a))							
22. 📝 Exan	nination fee (37 CI							
by IPEA	nion prepared by IS luS indicates all cl	\$ 200.00						
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 500.00			
	TOTAL OF 21, 22	1000.00						
sequenc electroni	e for specification e listing in complia c medium) (37 CF s \$250 for each ad							
Total Sheets	Extra Sheets	a Sheets Number of each additional 50 or fraction thereof (round up to a whole number)						
- 100 =	/50 =			× \$250	\$	l		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		46 - 20 =	26	x \$50	\$ 1300.00			
Independent clair	ms	4 -3=	1	× \$200	\$ 200.00			
MULTIPLE DEPI	ENDENT CLAIM(S	s) (if applicable)		+ \$360	\$			
			TOTAL OF ABOVE		\$ 2500.00			
✓ Applicant cla	ims small entity st	-1250.00						
		\$ 1250.00						
	f \$130.00 for furnis late (37 CFR 1.492	\$						
		\$ 1250.00						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$			
TOTAL FEES ENCLOSED =					\$ 1250.00			
					Amount to be refunded:	\$		
					Amount to be charged	^{\$} 1250.00		

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c. 🗸	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposi Account No. <u>23-0785</u> . A duplicate copy of this sheet is enclosed.							
d. 🗆	Fees are to be charged to a credit card. WARNING: be included on this form. Provide credit card inform	may become public. Credit car on PTO-2038.	d information should not					
NOTE: \ and gra	Where an appropriate time limit under 37 CFR 1.49 nted to restore the International Application to per	5 has not been met, a p nding status.	etition to revive (37 CFR 1.13	7(a) or (b)) must be filed				
SEND A	LL CORRESPONDENCE TO:	4	: J Luga U Mule SIGNATURE	llr				
500 We Chicag 312-87	Phillips, Katz, Clark & Mortimer est Madison Street, Suite 3800 o, Illinois 60661-4592 6-1800 (phone) 6-2020 (facsimile)	-	Lisa V. M NAME 38,9 REGISTRATION NUMBER		_			
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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this document and fee (if applicable) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and is addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450 on March 24, 2006 with Express Mail Label No. EV 576549799 US.

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